**NEVADA FINANICAL INSTITUTIONS DIVISION**

**DEBT MANAGEMENT SERVICES REGISTRATION – NRS 676A & NAC 676A**

**APPLICATION INFORMATION DISCLOSURE**

Nevada Revised Statutes chapter 676A, Section 320 lists the requirements for registration as a Debt Management Services Company. Provide a response to the following questions and upload the responses to “Additional Requirements” section of Document Uploads in the NMLS Company (MU1). Attach additional pages as necessary. The document should be named: *NV Application Information Disclosure*

1.  Provide a list of all company locations in the state of Nevada. Indicate which locations will provide debt management services to people living in Nevada. If there are no Nevada locations or none of the locations will conduct debt management services in Nevada, please make note of that in this document.

2.  Provide a description of any ownership interest of at least 10 percent by a director, owner or employee of the applicant in:

      (a) Any affiliate of the applicant; or

      (b) Any entity that provides products or services to the applicant or any individual relating to the applicant’s debt-management services;

3.  If the applicant is exempt from taxation, provide a statement of the amount of compensation of the applicant’s five most highly compensated employees for each of the 3 years immediately preceding the application or, if it has not been in operation for the 3 years preceding the application, for the period of its existence.

2. Identify every jurisdiction in which, during the 5 years immediately preceding the application:

(a) The applicant or any of its officers or directors have been licensed or registered to provide debt-management services; or

      (b) Individuals have resided when they received debt-management services from the applicant;

Enter "1" if Applicant or any of its Officers or Directors has a pending application in that jurisdiction.

Enter "2" if Applicant or any of its Officers or Directors is currently licensed/registered in that jurisdiction.

Enter "3" if Applicant or any of its Officers or Directors was formerly licensed/registered in that jurisdiction.

Enter "4" if Applicant or any of its Officers or Directors has provided debt-management services to a consumer residing in that jurisdiction.

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| --- | --- | --- | --- | --- | --- | --- | --- |
| Alabama |  | Illinois |  | Nebraska |  | South Carolina |  |
| Alaska |  | Indiana |  | Nevada |  | South Dakota |  |
| Arizona |  | Iowa |  | New Hampshire |  | Tennessee |  |
| Arkansas |  | Kansas |  | New Jersey |  | Texas |  |
| California |  | Kentucky |  | New Mexico |  | Utah |  |
| Colorado |  | Louisiana |  | New York |  | Vermont |  |
| Connecticut |  | Maine |  | North Carolina |  | Virginia |  |
| Delaware |  | Maryland |  | North Dakota |  | Washington |  |
| District of Columbia |  | Massachusetts |  | Ohio |  | West Virginia |  |
| Florida |  | Michigan |  | Oklahoma |  | Wisconsin |  |
| Georgia |  | Minnesota |  | Oregon |  | Wyoming |  |
| Guam |  | Mississippi |  | Pennsylvania |  |  | |
| Hawaii |  | Missouri |  | Puerto Rico |  |
| Idaho |  | Montana |  | Rhode Island |  |

      17.  Provide the identity of each director who is an affiliate, as defined in subsection 1 of [NRS 676A.030](https://www.leg.state.nv.us/NRS/NRS-676A.html#NRS676ASec030) or paragraph (a), (b), (d), (e), (f) or (g) of subsection 2 of [NRS 676A.030](https://www.leg.state.nv.us/NRS/NRS-676A.html#NRS676ASec030), of the applicant; and

**NRS 676A.030  “Affiliate” defined.**“Affiliate” means:

      1.  With respect to an individual:

      (a) The spouse of the individual;

      (b) A sibling of the individual or the spouse of a sibling;

      (c) An individual or the spouse of an individual who is a lineal ancestor or lineal descendant of the individual or the individual’s spouse;

      (d) An aunt, uncle, great aunt, great uncle, first cousin, niece, nephew, grandniece or grandnephew, whether related by the whole or the half blood or adoption, or the spouse of any of them; or

      (e) Any other individual occupying the residence of the individual; and

      2.  With respect to an entity:

      (a) A person that directly or indirectly controls, is controlled by or is under common control with the entity;

      (b) An officer of, or an individual performing similar functions with respect to, the entity;

      (c) A director of, or an individual performing similar functions with respect to, the entity;

      (d) Subject to adjustment of the dollar amount pursuant to subsection 6 of [NRS 676A.730](https://www.leg.state.nv.us/NRS/NRS-676A.html#NRS676ASec730), a person that receives or received more than $25,000 from the entity in either the current year or the preceding year or a person that owns more than 10 percent of, or an individual who is employed by or is a director of, a person that receives or received more than $25,000 from the entity in either the current year or the preceding year;

      (e) An officer or director of, or an individual performing similar functions with respect to, a person described in paragraph (a);

      (f) The spouse of, or an individual occupying the residence of, an individual described in paragraphs (a) to (e), inclusive; or

      (g) An individual who has the relationship specified in paragraph (d) of subsection 1 to an individual or the spouse of an individual described in paragraphs (a) to (e), inclusive.